



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

#7  
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In re Application of: Thomas C. Naratil      Docket No.: 4797-60  
Serial No.: 09/688,392      Examiner: Not yet assigned  
Filed: October 16, 2000      Group Art Unit: 2161  
Title: **SYSTEM AND METHOD FOR TRADING TAXABLE AND NON-TAXABLE SECURITIES**

October 18, 2002

Commissioner for Patents  
Washington, D.C. 20231

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/688,392	
	Filing Date	October 16, 2000	
	First Named Inventor	Thomas C. Naratil	
	Group Art Unit	2161	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission	3	Attorney Docket Number	4797-60

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Signature	<i>Leslie S Restaino</i>
Date	10/21/2002

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